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ABSTRACT

The 32 funded programs described in this report involve bibliographic control, collection development, and preservation of library materials at research libraries, and are designed to make rare materials accessible through bibliographic control and networking. The description of each funded project includes the institution conducting the research library project; the individual responsible for administering the project for the institution; the major area of project activity; the amount of money awarded for use during the grant period; the U.S. Department of Education grant number; and an abstract summarizing the project goals and activities. Appended tables summarize funding, major activities, and joint projects, and a map showing the states that have benefitted from Title II-C since 1978 is included. (MAB)

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Library Programs

HEA Title II–C

Strengthening Research Library Resources Program

Abstracts of Funded Projects

1989

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U.S. Department of Education

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Library Programs

Strengthening Research Library Resources Program

Higher Education Act, Title II–C

Abstracts of Funded Projects

1989

Compiled by
Louise V. Sutherland
and
Janice E. Owens

December 1990

U.S. Department of Education
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Acting Secretary

Office of Educational Research and Improvement
Christopher T. Cross
Assistant Secretary

Library Programs
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Director

Information Services
Sharon K. Horn
Director

December 1990

Foreword

"The expansion in the scope of educational and research programs and the rapid increase in the worldwide production of recorded knowledge have placed unprecedented demands upon major research libraries, requiring programs and services that strain the capabilities of cooperative action and are beyond the financial competence of individual or collective library budgets."

With these words, Congress, in the Education Amendments of 1976, launched the HEA Title II-C, Strengthening Research Library Resources Program. The accomplishments of this program, which span the continent and, in some instances, the world, are many-faceted and exciting. Imagine having access, through preservation and cataloging, to the New York Public Library's holdings of non-commercial recordings of interviews, performances, and speeches of such diverse personalities as Arturo Toscanini, Bing Crosby, Kirsten Flagstad, Fiorella La Guardia, Dylan Thomas, and Andrew Wyeth. Or seeing and hearing the political commercials from radio and television that have shaped the opinions of the voting public since the forties which are available at the University of Oklahoma. Or having the use of the collection of unique publications of the German Democratic Republic held at Berkeley, a particularly vital collection in view of the recent social and political developments in Europe. These activities and many others of equal import have been supported with HEA Title II-C funds.

This brochure describes briefly the projects funded in fiscal year 1989, including valuable summary data in table form which provide further insight into program activities. As in previous years, this slate reflects a diversity of subject matter and design in the effort to make rare material accessible through bibliographic control and networking, through preservation, and through augmentation of already strong and unique collections. Making rare material accessible is not cost-free. HEA Title II-C money has provided the incentive for sharing.

Thanks are extended to the members of my staff whose efforts have made this brochure possible.

Anne J. Mathews
Director
Office of Library Programs
Office of Educational
Research and Improvement

Introduction

This booklet contains information on grants funded by the Higher Education Act, Title II-C Program to assist major research libraries throughout the Nation. The booklet contains:

Descriptions of funded projects, grouped by major area of activity. Each description includes;

- The responsible agent conducting the research library project;
- The individual responsible for administering the project for the sponsoring institution;
- The major area of project activity;
- The amount of money awarded for use during the grant period;
- The U.S. Department of Education control number; and
- An abstract summarizing the project goals and activities.

Appendix tables showing funding and major activities of institutions.

For More Information

Additional information may be obtained by contacting:

Library Development Staff
Library Programs, OERI
U.S. Department of Education
555 New Jersey Avenue NW
Washington, DC 20208-5571
(202) 357-6315

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HEA Title II-C

Abstracts of Funded Projects

Bibliographic Control

A review of the program activity during the short 12-year life of the HEA II-C Program, Strengthening Research Library Resources, shows that from the first year of the program in fiscal year 1978, the activities proposed and funded fell into three major categories: bibliographic control, preservation, and collection development, with bibliographic control gaining the largest share of the funds (57 percent), followed by preservation (27 percent) and collection development (16 percent). The percentage of funds awarded to bibliographic control has climbed steadily through the years, with preservation maintaining second place, and collection development declining to less than 10 percent overall.

The research library community designs applications for funding. Representatives of various segments of the research community review and evaluate applications, making recommendations which are the basis of the funding decisions. We must conclude that the members of the research community themselves have by their actions determined that the most important function of the HEA II-C Program is to expand the national databases by cataloging previously uncataloged research materials, or by converting to machine-readable form those records now found only in local card catalogs.

Nineteen of the applications selected for funding in fiscal year 1989 requested and were granted funds to improve access by creating such records. These applications were awarded $4,674,002 or 82 percent of the amount appropriated.

Auburn University
Brown University
Center for Research Libraries
Georgetown University
Harvard University
Indiana University
Indiana University
Stanford University
State Historical Society of Wisconsin
SUNY Buffalo
Tulane University
University of California, Berkeley
University of California, Riverside
University of Florida
University of Kansas
University of Maryland
University of Pittsburgh
University of Virginia
Yale University

Institution	Auburn University Ralph Brown Draughon Library Auburn University, AL 36849
Project Directors	G. Boyd Childress Social Science Reference Librarian, and T. Harmon Straiton, Jr. Head, Microforms and Documents Department (205) 844-1742
Program Focus	Bibliographic Control
Grant Period	1/1/90 - 12/31/90
FY 89 Funding	$117,601
Grant Number	R091A90086

The Auburn University Libraries will continue to create a total of approximately 13,000 machine-readable records for titles in two microform sets--*Confederate Imprints, 1861-1865*, and *French Revolutionary Pamphlets*. Records created during this project will be entered into OCLC. *Confederate Imprints, 1861-1865* (Research Publications) include books, serials, pamphlets, bills, and catalogues, which were printed in the Confederate States of America during the 4 years of the Civil War. The set contains over 6,000 titles documented in Marjorie Lyle Crandall's *Confederate Imprints: A Check List Based Principally on the Collection of the Boston Athenaeum;* Richard Barksdale Harwell's *More Confederate Imprints*; and T. Michael Parrish's and Robert M. Willingham, Jr.'s *Confederate Imprints: A Bibliography of Southern Publications from Secession to Surrender.*

French Revolutionary Pamphlets (General Microfilm) includes nearly 7,000 titles and represents materials housed in the Talleyrand Collection of the New York Public, the Melvin Collection at the University of Kansas, the Library of Congress, and other repositories. The collection covers the years 1787 to 1800 and is an invaluable resource for the history of the period.

Institution	Brown University University Libraries Box A Providence, RI 02912
Project Director	Merrily E. Taylor University Librarian (401) 863-2162
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$188,343
Grant Number	R091A90096

The John Hay Library at Brown University is one of the major libraries in the United States devoted exclusively to special collections. Foremost among its collections of international repute are the Harris Collection of American Poetry and Plays, the McLellan Lincoln Collection, and the Anne S. K. Brown Military Collection. Altogether, there are over 150 separate collections comprising some 250,000 monographic volumes.

This project is for the third year of a 3-year effort to complete the conversion of the monographic holdings of the John Hay Library to machine-readable form using the services of an outside retrospective conversion vendor. During the third project year, 40,000 bibliographic records will be converted, of which 26,000 will be from various Hay Special Collections and 14,000 original records not converted during the project's previous 2 years. Once this project is done approximately 200,000 titles from the John Hay Library will be available to national and international users of the Research Libraries Information Network (RLIN) and Online Computer Library Center (OCLC) databases. The conversion work is being done under contract by the OCLC RETROCON Service and the records created will also be tape-loaded into RLIN.

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Institution	Center for Research Libraries 6050 South Kenwood Avenue Chicago, IL 60637
Project Directors	Donald B. Simpson President (312) 955-4545, and Margorie E. Bloss Director, Technical Services Division (312) 955-4545
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$236,331
Grant Number	R091A90090

The Center for Research Libraries is increasing scholars' access to its collections of rare research materials through a retrospective conversion project for its monographic holdings. The Center has completed several retrospective conversion projects for other components of its collections, most notably its serials and newspaper holdings. Conversion of its monographs is the final phase of record conversion, and with the completion of this phase all of the center's cataloged records will be in machine-readable form. In this project, with the help of II-C funds, the Center will convert to machine-readable format 65,000 monographic records in its catalog. The catalog records will be converted to MARC format and will be available immediately in the OCLC database. Also, they will be loaded into the Research Libraries Group's Research Libraries Information Network (RLIN) database, and made available on the archival tapes containing all of the Center's machine-readable bibliographic records. Approximately 22 percent of the converted records will be new to the OCLC database, thereby enhancing researchers' bibliographic access to a dedicated-to-lending collection.

Institution	Georgetown University University Library Box 37445 Washington, DC 20013
Project Director	Jon K. Reynolds University Archivist (202) 687-7444
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$78,126
Grant Number	R091A80042-89

Georgetown University will continue its efforts to make fully accessible its very extensive Jesuit and Jesuit-related manuscripts and archival record groups offering important research resources in many areas of American history, culture, the sciences, and other fields. In this second year, two cataloger/indexers will continue the entry of information in local collection-level databases. This data will be cumulated into a single index ultimately covering some 300 separate collections and record groups containing more than 1 million individual manuscripts or related items. As collections are finished, collection level descriptions will be entered in the OCLC database using the Archives and Manuscript Control format. OCLC entries will be supplemented by availability of the cumulated index and searching software via floppy disks, modem, or tape.

Institution	Harvard University Holyoke Center 1350 Massachusetts Avenue Cambridge, MA 02138
Project Director	Sidney Verba Director of the University Library (617) 495-3650
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$147,827
Grant Number	R091A90062

National access to the comprehensive records of the Andover-Harvard Theological Library of the Harvard Divinity School will make available for contemporary historical and theological study the country's premier collection in several major areas. In the area of Biblical studies, there are comprehensive collections in texts, theology, commentaries, hermeneutics, and archaeology. The Library also has great strength in the liberal religious tradition, particularly in the radical wing of the Reformation.

At the present time, the full collection is available to the on-site scholar only through searches in two large manual files. Accordingly, the Harvard University Library will convert and enhance approximately 157,000 catalog records of the library at the Divinity School over a 4-year period. The project will add approximately 47,000 unique full MARC records with standard subject tracings and authorized name headings to OCLC. Additionally, it will unite the catalog and bring the records together in a significantly improved form.

Institution	Indiana University Music Library P.O. Box 1847 Bloomington, IN 47405
Project Director	David E. Fenske Head, Music Library (812) 855-2970
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$360,000
Grant Number	R091A90106

This joint project continues the retrospective conversion of manual bibliographic records for printed music begun at the Eastman School of Music, University of Rochester, in 1987. Participants for 1989, in addition to Indiana and Eastman, are Cornell, Harvard, and Yale. Records will be entered in both OCLC and RLIN. Authority work will continue to be contributed through NACO. Standards used are those established by a consensus of professional organizations and promulgated by the Joint Committee on Retrospective Conversion in Music. The project is benefitting scholars and other music libraries by increasing significantly the number of available bibliographic records for music materials in this national online union catalog.

Institution	Indiana University Music Library P.O. Box 1847 Bloomington, IN 47405
Project Director	David E. Fenske Head, Music Library (812) 855-2970
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$112,332
Grant Number	R091A90075

The cataloged opera collection at Indiana is rich and diverse and is among the most complete and useful collections of its kind in the United States. This project will catalog 4,000 sound recording titles of operas and opera excerpts new to the Music Library's collection, using the OCLC database. Approximately 1,600 new bibliographic records will be added to the database and holdings information for another 2,400 added to existing records. All existing records will be reviewed and upgraded to AACR2 standards. A substantial number of new name headings will be contributed to the Library of Congress machine-readable name authority file, and will be distributed nationally. Complete access points will be provided for each performer in complete operas and anthologies and for each selection in anthologies.

Institution	Stanford University Libraries Stanford, CA 94305
Project Director	David C. Weber Director of the Library (415) 723-2015
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$258,482
Grant Number	R091A80071-89

The libraries of the University of California at Berkeley, the University of Florida, Indiana University, Stanford University, and the University of Texas at Austin together will continue to create machine-readable bibliographic records for Latin Americanist library materials now represented only in each institution's local card catalog. The project will focus on holdings at these five major repositories as part of a continuing cooperative effort to enhance the sharing of library resources and thus to improve research capabilities in Latin American Studies.

During this phase of the project, over 52,000 manual catalog records will be converted to machine-readable form and made generally available by adding them to the nation's two principal bibliographic databases, OCLC and RLIN. The first phase covered 112,355 records. A third and final phase may incorporate additional collections and holdings. The entire project is scheduled for completion in 1992, in conjunction with the Quincentennial celebration of Columbus's voyage to the New World. Scholarship, formal education, and independent research throughout the Americas will be the beneficiaries.

Institution	State Historical Society of Wisconsin Library 816 State Street Madison, WI 53706
Project Director	Jonathan Cooper Chief Cataloger (608) 262-9587
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$116,736
Grant Number	R091A90054

The Library of the State Historical Society of Wisconsin is continuing to process its "Cutter Pamphlet" collection into its current pamphlet collection. The Cutter Pamphlets are a general collection of unbound ephemera collected by the Library from 1854 through 1966. Less than one-third of the 60,000 items in this collection have records on OCLC or any other national machine-readable database. Much of the collection is not fully cataloged and shows signs of wear and deterioration. The project is making the materials more accessible to researchers throughout the nation by preserving by appropriate methods those materials in need of basic conservation measures, and by entering full MARC records into OCLC for all items not currently in that database. During this project period, conservation work will be completed and approximately 9,000 records will be added to the OCLC database.

Institution	State University of New York at Buffalo University Libraries 420 Capen Hall Buffalo, NY 14260
Project Director	Robert J. Bertholf Curator The Poetry/Rare Books Collection (716) 636-2917
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$122,799
Grant Number	R091A80087-89

The Poetry Collection of the State University of New York at Buffalo was established in 1937 and immediately began acquiring the working manuscripts, drafts of poems, and letters of 20th century poets. The Collection includes approximately 120,000 manuscripts and letters which have only in-house finding records, and no MARC records. In addition, in recent years the archives of The Jargon Society and The Martha Jackson Gallery have been acquired. Together they account for an additional 200,000 documents which have no records at all. The Poetry staff will sort, process, and produce 25,000 MARC records for these documents, and input them into the RLIN database making these primary research materials of manuscripts and letters accessible to an international audience and stimulating research in and publication on 20th century poetry.

Institution	Tulane University Howard-Tilton Memorial Library 6823 St. Charles Avenue New Orleans, LA 70118
Project Director	Clifton H. Johnson Executive Director Amistad Research Center (504) 865-5535
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$98,771
Grant Number	R091A80070-89

The Amistad Research Center is one of the nation's largest repositories for original source materials on ethnic history, race relations, and civil rights. While its collections constantly attract research scholars from across the nation and abroad, some of its unique holdings remain little used because their existence and content are not generally known. With the help of HEA II-C funds, forty manuscript collections totaling 670.2 linear feet relating to the history and culture of African Americans, to civil rights, and to American relations with Africa, will be processed and machine-readable bibliographic records conforming to MARC-AMC format created. The records will be added to OCLC and to Tulane's online systems, benefitting researchers and scholars world-wide and also the nine New Orleans area colleges and universities that make up the Amistad Consortium for Research.

Institution	University of California at Berkeley General Library Berkeley, CA 94720
Project Director	Joseph A. Rosenthal University Librarian (415) 642-3773
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$324,859
Grant Number	G008745306-89

The Bancroft Library Retrospective Conversion Project will make research materials in The Bancroft Library more available to scholars by converting approximately 210,000 card catalog records for printed materials into machine-readable form. Records created during the project will be entered into the national databases of OCLC and RLIN, the MELVYL online union catalog of the University of California, and Berkeley's GLADIS online catalog. The Bancroft Library is comprised of two collections of internationally recognized distinction: The Bancroft Collection of Western Americana, including materials on Mexico and Central America; and the Rare Books Collection, encompassing extensive manuscript holdings and important printed collections in the areas of early printing, modern poetry, western authors, small press imprints, history of science, and numerous other fields. Over 32 percent of the records converted (67,200) will be new to the national databases. During this funding period, at least 50,000 records will be converted and loaded into the databases enumerated above.

Institution	University of California-Riverside Tomas Rivera Library Riverside, CA 92521
Project Director	Henry L. Snyder Professor of History and Director, ESTC/NA (714) 787-5841
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$156,936
Grant Number	R091A90019

Of the top twenty major microform sets given highest priority for cataloging by the Association of Research Libraries survey in 1981-84, *Early English Books: Series I, 1475-1640* is the only set that has not elicited a set of machine-readable records. The North American editorial center for the Eighteenth Century Short-Title Catalogue (ESTC/NA) whose permanent headquarters is at Riverside will create full bibliographic records for reels 1-48 of the set, published by University Microfilms International (UMI). The project will be carried out in conjunction with the British Library, UMI, and the North American Imprints Program (NAIP) based at the American Antiquarian Society in Worcester, Massachusetts. There are approximately 28,000 titles, or 60 units, in Series I. UMI will produce records for 49-61, thus completing machine-readable access to the set. In addition, related records created by the British Library, together with those created by ESTC/NA, UMI, and NAIP will be added to the ESTC file of RLIN as a part of the program to develop a complete English Short-Title Catalogue through 1800.

Institution	University of Florida 210 Library West Gainesville, FL 32611
Project Director	Sam Gowan Associate Director for Collections and Services (904) 392-0342
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 12/31/90
FY 89 Funding	$64,000
Grant Number	R091A90085

The Baldwin Library at the University of Florida Libraries is a special collection of international significance for scholarly research containing approximately 85,000 items published before 1950 involving the use of literature in English for or about children. The Baldwin holdings are currently unavailable in local, state, or national bibliographies. Many of the titles will not be found elsewhere, and are considered remarkably important. They constitute the best available evidence of how American and English cultures inculcated values in children, and expressed concepts of what childhood should be.

At least 3,000 records representing unique or rare materials in this library published in America between 1876 and 1900, and in the United Kingdom between 1860 and 1900 will be converted into machine-readable format and added to the OCLC and RLIN databases. In addition to the conversion of the records the project will undertake to alter the public display in the Libraries' NOTIS based online catalog so that users may search MARC tags for access by genre terms, access by publisher, publisher address, and place of publication.

Institution	University of Kansas Kenneth Spencer Research Library Lawrence, KS 66045
Project Director	Alexandra Mason Librarian (913) 864-4334
Program Focus	Bibliographic Control
Grant Period	1/1/90 - 3/31/91
FY 89 Funding	$178,398
Grant Number	R091A90065

The P. S. O'Hegarty Irish Library, a special collection held in the University of Kansas' Kenneth Spencer Research Library, is one of the four largest Irish collections in the Western Hemisphere. Acquired in 1955 and 1959, the collection contains major holdings of Irish imprints, works on Irish topics, and works by Irish authors, dating from the 17th to the early 20th century. Through this project, which is designed to cover a 3-year period, approximately 14,000 titles of books and pamphlets and 430 serial titles (a total of about 15,500 volumes) will be cataloged and made internationally accessible through the OCLC database. This project will provide access to unique primary resources which will support research in the areas of Irish history (political, economic, and social), Irish revolutionary publications, contemporary works on the Union of Great Britain and Ireland in 1800, Irish poetry, and Irish provincial imprints.

During the first year of the project, approximately 4,500 items will be cataloged and entered into the OCLC database, and necessary minor conservation will be carried out on pamphlets, broadsides and other fragile and unbound items.

Institution	University of Maryland McKeldin Library College Park, MD 20742
Project Director	Marietta A. Plank Associate Director for Automation and Technical Services (301) 454-3478
Program Focus	Bibliographic Control
Grant Period	1/1/90 - 12/31/90
FY 89 Funding	$575,000
Grant Number	R091A90061

The University of Maryland College Park Libraries (UMCP), in cooperation with the University of Delaware Library, the New York State Library, and Texas A & M University Library, will perform the cataloging and authority work on 20,000 titles in Segment 1 of the microfilm collection *Goldsmiths'-Kress Library of Economic Literature*. The cataloging will be produced in full MARC format in accordance with Anglo-American Cataloging Rules II description, Library of Congress Subject Headings, and OCLC Level 1 Bibliographic Input Standards in accordance with the cataloging policies and practices of the Library of Congress. The bibliographic records will be input into OCLC for immediate access by participating libraries. As a Name Authority Cooperative (NACO) participant, UMCP will contribute an estimated 5,000 authority records for all names not currently in the Library of Congress name authority file. The subject and descriptive cataloging will be divided among the three participating libraries.

Institution	University of Pittsburgh University Library System 271 Hillman Library Pittsburgh, PA 15260
Project Directors	Charles E. Aston, Jr. Head, Special Collections Department (412) 648-8191, and Ruth C. Carter Assistant Director for Technical Services (412) 648-8981
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$115,380
Grant Number	R091A90097

The Darlington Memorial Library is one of the three largest collections of pre-1870 Western Pennsylvania imprints in the United States. The library also holds the largest collection of early, pre-1870 American imprints in the Upper Ohio Valley, Western Pennsylvania, and West Virginia region. This project will provide scholarly access nationwide to this important collection of unique materials. It will provide for the cataloging of 8,400 of the library's 12,000 titles into the OCLC national database in accordance with national standards. The physical condition of the collection will be assessed at the time of cataloging. The remaining 3,600 titles have been cataloged through a university-funded retrospective conversion project.

Institution	University of Virginia McIntire Department of Music 112 Old Cabell Hall Charlottesville, VA 22903
Project Director	Marita P. McClymonds Associate Professor (804) 924-3052
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$105,071
Grant Number	R091A90101

The Music Library and the McIntire Department of Music at the University of Virginia propose to catalog 4,500 non-Italian 19th century librettos in the Albert Schatz Collection, the largest and most important collection of librettos in the United States. The cataloging will be entered into the Research Libraries Information Network (RLIN), the national bibliographical database of the Research Libraries Group (RLG). Work will be carried out under the aegis of the Musicological Society and Music Library Association Joint Committee on RISM (Repertoire International des Sources Musicales - The International Inventory of Musical Sources), a world-wide, cooperative effort to achieve bibliographical control of all primary sources pertaining to music. Cataloging will follow the comprehensive RISM guidelines for the online cataloging of librettos that allow desirable research data peculiar to librettos to be input according to the MARC format used in virtually all research libraries in the United States. This is the second year of a 3-year project to catalog the entire Schatz Collection.

Institution	Yale University Yale University Library P.O. Box 1504A Yale Station New Haven, CT 06520
Project Director	Monty L. Montee Chief Cataloging Librarian (203) 432-1701
Program Focus	Bibliographic Control
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$385,456
Grant Number	R091A90068

The libraries of Yale University, Columbia University, and the Hoover Institution on War, Revolution and Peace together will create machine-readable bibliographic records for Latin Americanist library materials now represented only in each institution's local card catalog. The project will focus on the holdings of the three major repositories as part of a continuing cooperative effort to enhance the sharing of library resources and thus to improve research capabilities in Latin American Studies.

During a 1-year period, over 51,900 manual catalog records will be converted to machine-readable form and made generally available by adding them to the nation's two principal bibliographic databases, OCLC and RLIN. The project is considered ancillary to the Latin American Retrospective Conversion Project funded by II-C in fiscal year 1988 and administered by Stanford University in cooperation with Berkeley, Florida, Indiana, and Texas. Scholarship, formal education, and independent research throughout the Americas will greatly benefit from these efforts.

Preservation

Eight applications with preservation components received fiscal year 1989 funds. They include such diverse content and format areas as Judaica and Hebraica manuscripts, Brazilian small press literary materials, Victorian fiction, homeopathy monographs, agricultural publications, and ephemeral materials related to the history of pharmacy. Preservation methods include cleaning, rehousing, microfilming, rebinding, and other routine techniques. Funds to carry out these activities, in combination with others were awarded to:

Jewish Theological Seminary
New York Public Library
University of Illinois, Urbana
University of Michigan
University of New Mexico
University of Oklahoma
University of Texas
University of Wisconsin

Funds for these projects accounted for eleven percent of the total funds or $591,729.

Institution	Jewish Theological Seminary of America The Library 3080 Broadway New York, NY 10027
Project Director	Mayer Rabinowitz Librarian (212) 678-8075
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$120,840
Grant Number	R091A90067

The Jewish Theological Seminary of America (JTS), a leading center of Judaic Studies, holds the largest, richest, and most comprehensive collection of Judaica and Hebraica manuscripts in this hemisphere. The JTS manuscript collections, comprising 10,150 items, span 1,000 years of history and range from poetry to physics.

The JTS Library will complete a long-term process of cataloging, microfilming, and improving scholarly access to these important manuscript materials by: 1) cataloging 1,050 unmicrofilmed manuscripts according to RLIN standards; 2) microfilming 1,050 manuscripts (negative master, printing master, service copies; 105,000 frames, 105 reels); 3) preparing standardized short-title bilingual cataloging for ***all*** JTS manuscripts (10,150 items) and entering these records in RLIN; and 4) publishing a printed index and reel guide to all JTS manuscripts on microfilm (10,150 mss. on 454 reels). The project will preserve unique materials and encourage intensified use by scholars in a variety of disciplines.

Institution	New York Public Library 111 Amsterdam Avenue New York, NY 10023
Project Director	Christine Hoffman Assistant Chief Rodgers and Hammerstein Archives of Recorded Sound (212) 870-1661
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$143,767
Grant Number	R091A90089

The Rodgers and Hammerstein Archives of Recorded Sound of the New York Public Library will continue for the second year to catalog and conserve a portion of its collection of noncommercial recordings--open-reel tapes, cassette tapes, and disc recordings not produced for commercial sale, but privately made, or produced for broadcasting purposes only. The collection is widely diverse in nature, including recordings by such prominent performers as Arturo Toscanini, Bing Crosby, Artur Rubinstein, and Kirsten Flagstad, as well as recordings of interviews, readings, or speeches of Fiorello LaGuardia, Dylan Thomas, Andrew Wyeth, and Sidney Poitier. At present, access to this material is severely limited, and, in many cases, material is not accessible to the public at all. Material was selected on the basis of preservation and conservation needs, and historical value. Using the Archival and Manuscripts Control (AMC) Machine-Readable Cataloging (MARC) format, the materials will be cataloged in the Research Libraries Information Network (RLIN), resulting in the most significant use of the AMC format for recordings thus far. The recordings will be cleaned and encased in archival containers, and individual recordings in need of further conservation and preservation work will be identified.

Institution	University of Illinois Library 506 South Wright Street Urbana, IL 61801
Project Director	Carol Boast Agriculture Librarian (217) 333-0790
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$213,192
Grant Number	R091A90064

The University of Illinois at Urbana-Champaign (UIUC) will continue a project funded by the Department of Education and the National Agricultural Library (NAL), 1986-89, to improve bibliographic access to major publications from agricultural experiment stations and land grant universities in every state, and from the U.S. Department of Agriculture. During this segment of the project, UIUC will complete the cataloging of its publications, thus making them uniformly accessible for the first time through series analytics in the OCLC database and in the online catalogs of UIUC and NAL, and through indexing in the AGRICOLA and AGRIS databases. NAL has coordinated microfilming the primary publications for several years. This project will enable UIUC to bind individual issues of series, making newly retrievable publications available for interlibrary loan.

Institution	University of Michigan University Library 818 Harlan Hatcher Graduate Library Ann Arbor, MI 48109
Project Director	Robert M. Warner Interim Director and Dean, School of Information and Library Studies (313) 764-9356
Program Focus	Bibliographic Control and Preservation
Grant Period	1/1/90 - 12/31/90
FY 89 Funding	$67,548
Grant Number	R091A90105

The University of Michigan Library will microfilm approximately 1,250 monographs in its homeopathy collection and perform the conservation treatment on an estimated 250 monographic items of artifactual value important to retain in their original format. It will also make available bibliographic information on preserved materials on both the RLIN and the OCLC national databases. Since the dissolution of the Hahnemann Medical College collection, there is no known resource comparable to this collection in the United States. None of the titles in this collection is currently available in either reprint or microform, and no machine-readable bibliographic records exist for 29 percent of the materials. Funds will provide the staff and supplies needed to preserve and make available to scholars the materials in this collection.

Institution	University of New Mexico General Library Albuquerque, NM 87131
Project Director	Jeanne Sohn Associate Dean of Library Services (505) 277-4241
Program Focus	Bibliographic Control and Preservation
Grant Period	1/1/90 - 12/31/90
FY 89 Funding	$77,812
Grant Number	R091A90023

The University of New Mexico will perform original cataloging on some 2,133 monographs and pamphlets, as well as 58 periodicals for which at present almost no records exist. This is a valuable collection of specialized Brazilian literary materials, primarily short stories, novels, works of poetry, and library periodicals, published by avant-garde, private, and alternative presses in various parts of Brazil during the 1970s and 1980s. A brochure also will be produced describing the scope and content of this collection and disseminated to scholarly organizations and individuals both within the United States and abroad. To ensure the long-term survival of the collection, a range of suitable conservation and preservation measures will be carried out. Finally, the collection will be enriched and extended through selective acquisition of additional small press materials.

Institution	University of Oklahoma 1000 Asp Avenue Norman, OK 73019
Project Director	Lynda Lee Kaid Director Department of Communication (405) 325-3111
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/89 - 12/31/90
FY 89 Funding	$186,734
Grant Number	R091A80026-89

The University of Oklahoma Political Commercial Archive will continue to preserve and catalog its unique collection of over 40,000 videocassette, film, and audio recordings of political commercials. The project will enable the University to prescribe special preservation methods among the recordings and will increase their availability to scholars by duplicating working copies of the holdings. It will also catalog and input records of the 40,000 commercials into an online system, establishing full bibliographic control of the currently uncataloged collection by the close of this second year. A catalog of holdings will be published from the computer database and distributed to national and international libraries and relevant database collections. With successful completion of this project scholars and researchers throughout the world will have greater access to these specialized resource materials.

Institution	University of Texas at Austin The General Libraries PCL 3.200 Austin, TX 78713
Project Director	Harold W. Billings Director (512) 471-3811
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$156,591
Grant Number	R091A90084

This project will catalog directly into machine-readable format through an internationally shared database (OCLC) the approximately 8,000 titles (16,739 volumes) in the Robert Lee Wolff Collection of the Harry Ransom Humanities Research Center. An anticipated 37 percent of the titles in this eminent collection of Victorian fiction will receive original cataloging and 1,275 new authority records created during the project will be contributed to the national library community through the Name Authority Cooperative (NACO) project of the Library of Congress. Routine preservation measures will also be taken to protect the volumes and extend their useful life. These activities will make widely available to the international community of researchers of Victorian fiction the most comprehensive body of such material held in the United States, with many individual titles unique or rarely held anywhere in the world.

Institution	University of Wisconsin 750 University Avenue Madison, WI 53706
Project Director	D. Kaye Gapen Dean, General Library System (608) 262-2600
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$130,000
Grant Number	R091A90055

The University of Wisconsin-Madison General Library System (GLS) collections have long been renowned for their scholarly content, depth and breadth of coverage, and unique holdings in many areas.

With the help of HEA Title II-C funds, GLS will create 25,000 machine-readable records for the unique collection of 300,000 pieces of pharmaco-history known as the Kremers Reference Files and add them to the national OCLC database and to the GLS online database, the Network Library System. Storage conditions will be upgraded to meet conservation standards and a survey which details the preservation requirements of the materials will be made. Also, procedures needed to support continued collection development and cataloging in this area will be defined.

Collection Development

Only two applications concentrated on collection development alone. Again, the diversity of the material emphasizes the wide range and impact of the HEA II-C program, from manuscript in microform on the production of Shakespearean and Elizabethan drama, to agriculture resource material. These projects, together with those with collection development components, received $409,269, or 7 percent of the funds.

Folger Shakespeare Library

Mississippi State University

Institution	Folger Shakespeare Library 201 East Capitol Street SE Washington, DC 20003
Project Director	Philip A. Knachel Associate Director (202) 544-4600
Program Focus	Collection Development
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$129,319
Grant Number	R091A90066

The Folger Shakespeare Library will use Title II-C funds to acquire microform source materials which will complement and enhance its extensive holdings in Shakespearean drama and early modern British history. Specifically the funds will be used for the acquisition of theatrical promptbooks and related manuscripts--materials primarily pertaining to the production of Shakespearean and Elizabethan drama located mainly in libraries in Great Britain; and British manuscript sources of early modern history manuscript collections from some of the principal libraries of Great Britain relating to political, social, and ecclesiastical history of England and Colonial America in the early modern period. The Folger will enter the microform set catalog data in RLIN and will publicize the acquisition through its printed catalogs, notices in learned journals, and by other means.

Institution	Mississippi State University University Library P.O. Box 5408 Mississippi State, MS 39762
Project Director	George Lewis Director of Library Services (601) 325-3060
Program Focus	Collection Development
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$133,771
Grant Number	R091A90011

The Mississippi State University Library has been designated as one of four Regional Libraries within the National Agriculture Library's Aquaculture Library Network. The Aquaculture Library Network will work to meet the specific information needs of the four Regional Centers, assist in the collection and development of aquaculture materials, cooperate in document delivery, and investigate new computer technologies to enhance information transfer. Title II-C support will be used to acquire library materials to strengthen the University Library's aquaculture collection and to enhance the access and delivery of information to aquaculture scientists, researchers, teachers, students and Aquaculture Centers on the state, regional, national, and international levels.

Records for the materials acquired will be entered in the OCLC database and made available nationwide through the OCLC Interlibrary Loan module. Additionally, aquaculture resource materials will be made available to the National Agriculture Library for inclusion in its Text Digitizing Project to be made available via CD-ROM and Full Text and Image Retrieval technology.

Combined

Three institutions requested and received funds to carry out projects that include all three major areas of activity--bibliographic control, preservation, and collection development. They include Russian books and materials, 1730-1940; German Baroque material and German Democratic Republic publications; and books on classical and ancient India. Funds for these projects total $572,978 and are accounted for in the individual categories and percentages.

New York Public Library
University of California, Berkeley
University of Chicago

Institution	New York Public Library 5th Avenue at 42nd Street New York, NY 10018
Project Director	Edward Kasinec Chief, Slavic and Baltic Division (212) 930-0713
Program Focus	Bibliographic Control, Preservation, and Collection Development
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$185,605
Grant Number	R091A90094

The New York Public Library will preserve on microfilm 788 titles from the Slavic and Baltic Division's unique collection of illustrated books and original photographs from the period 1730-1940. These films will be supplied to readers as service copies, while the originals will be protectively boxed and, in the case of original photographic albums or items of artifactual value such as color plates, will be selectively provided with basic conservation treatment--dry cleaning by removal of surface dirt, repair, deacidification, encapsulation, or dismounting and post-binding, as appropriate. Online cataloging records in the RLIN and the OCLC databases will be created for all newly created microfilms, and for a limited number of titles that either are at present described only by minimal cataloging information in the Division's printed catalog, or are unique photographic albums.

A guide to the illustrated volumes in the collection, as well as an image-by-image index of the original photographs in the collection, will be assembled and published, making the precise contents of the collections available to a national and international audience.

Institution	University of California at Berkeley The Library Berkeley, CA 94720
Project Directors	Joseph A. Rosenthal University Librarian (415) 642-0956, and James H. Spohrer Librarian for the Germanic Collections (415) 642-0956
Program Focus	Bibliographic Control, Preservation, and Collection Development
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$249,663
Grant Number	R091A90004

Berkeley will strengthen the Library's Germanic Collections by obtaining approximately 1,450 book volumes, or their equivalents in other formats, in two major areas of German Studies. German Democratic Republic publications will enrich Berkeley's already strong collections of these materials and create national-level research collections for scholars in the field. German Baroque literature will build upon one of Berkeley's preeminent collections. The project will identify and fill lacunae in the Baroque collections in order to better support Baroque research nationally. It will also provide conservation care to 650 rare or scarce German studies volumes, including repair, photo-reproduction on acid-free paper of brittle material, microfilming and other treatments as appropriate. And finally, it will automate the remaining 25,000 records for titles from Berkeley's manual catalog records for materials in German, Scandinavian and Dutch literature; German, Austrian and Swiss history; and German linguistics. The resulting automated records will be added to RLIN and OCLC for public use and will greatly facilitate interlibrary loan of Berkeley's rare and unique German studies research resources.

Institution	The University of Chicago Library 1100 East 57th Street Chicago, IL 60637
Project Director	James H. Nye Bibliographer for Southern Asia (312) 702-8430
Program Focus	Bibliographic Control, Preservation, and Collection Development
Grant Period	10/1/89 - 9/30/90
FY 89 Funding	$137,710
Grant Number	R091A90074

The University of Chicago Library will acquire, preserve, and make available 3,500 books on classical and ancient India, none held by a United States research library. Of these, 2,500 books will be acquired by contracted preparation of microfilm master negatives at the British Library or the National Library of India, and 1,000 books will be purchased from book dealers in India and England. These acquisitions will strengthen Chicago's internationally recognized collection of South Asian cultural records.

This project is possible because of editorial and cataloging work at the University of Chicago on *South Asian Books in Series: Sanskrit, Pali, and Prakrit*, a comprehensive bibliography of series from South Asia in the classical and pre-classical languages of India. A noteworthy consequence of these bibliographic efforts is that machine-readable cataloging records are available prior to the start of preservation activities. The master file for the bibliography will also provide a base for acquisitions decisions. This project is complemented by a preservation project funded by National Endowment for the Humanities in conjunction with Harvard University to preserve 4,000 other brittle books cited in the bibliography and held by the two libraries.

HEA Title II-C

Analysis of FY 89 Applications/Grantees

Analysis of FY 89 Applications/Grantees

		Amount of funds requested or awarded
Appropriation		$5,675,000
Total applications received	83	15,634,233
Number of new applications received	77	14,361,127
Number of noncompetitive continuation grantees	6	1,273,106
Total awarded	32	5,675,000
New grants awarded	26	4,605,229
Continuation grants	6	1,069,771
Average grant		177,344

Type of library	Number of applicants	Number of new grantees	Number of continuation grantees
Total	83	[1] 26	[2] 6
Historical societies	3	1	0
Institutions of higher education	66	20	6
Independent research libraries	3	2	0
Public libraries	5	2	0
State libraries	0	0	0
Museums	3	0	0
Other	3	1	0

[1] Four of these grants are jointly sponsored, directly benefitting 10 additional institutions. Two of the 26 are grantees for the first time this year.

[2] Of the 6 continuation grants, one is jointly sponsored, directly benefitting 5 additional institutions.

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HEA Title II-C

Appendix A

Table 1.—Project and funding totals,

State	Total		FY 78		FY 79		FY 80		FY 81		FY 82	
	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount
Total	392	$69,913,264	20	$4,999,996	26	$6,000,000	22	$5,992,268	30	$6,000,000	35	$5,760,000
Alabama	2	230,178										
Alaska	2	341,385			1	150,000		4,116				
Arizona	4	581,952					1	202,549	1	184,785		
California	47	11,606,894	2	846,500	3	1,175,000	2	1,247,332	2	335,708	3	493,326
Colorado	3	670,459	1	219,103	1	215,000	1	236,356				
Connecticut	9	2,193,852	1	149,800	1	160,000	1	295,051	1	228,000	1	400,000
District of Columbia	11	1,058,639	1	142,023							1	108,990
Florida	4	1,942,459							1	800,000		
Georgia	1	92,173										
Hawaii	4	199,345							1	150,000		
Illinois	47	6,898,333	3	483,416	3	443,749	3	323,076	5	610,287	4	516,494
Indiana	13	3,086,325	1	389,106	1	200,000		4,116	1	145,000	1	167,516
Iowa	1	127,975							1	127,975		
Kansas	8	1,079,605			1	116,689	1	136,967			1	148,500
Kentucky	2	295,402										
Louisiana	2	201,479										
Maryland	8	2,158,799										
Massachusetts	27	5,324,808	2	621,371	2	450,000	1	404,889	2	354,816	3	687,867
Michigan	12	2,169,732			1	350,000	1	606,000	1	300,000	1	133,901
Minnesota	3	253,019									1	66,309
Mississippi	1	133,771										
Missouri	11	2,077,942	1	200,000	1	200,000	1	244,571				
Montana	1	115,403										
Nebraska	1	90,000									1	90,000
New Hampshire	5	1,067,279							1	150,000		
New Jersey	9	1,303,489	1	219,395	2	450,000	1	157,777			1	110,000
New Mexico	2	141,812										
New York	56	10,879,583	3	1,050,700	5	1,237,062	3	788,197	3	1,113,994	3	455,034
North Carolina	10	2,316,720	1	250,000	1	220,500	2	571,445	1	270,937	1	339,007
Ohio	11	1,481,126							2	259,306	3	611,167
Oklahoma	3	505,874									1	203,451
Pennsylvania	15	1,457,905					1	167,739	1	43,680	1	108,798
Rhode Island	7	1,372,938					1	272,471	1	165,000	1	168,626
South Carolina	5	502,031							1	172,000	1	132,736
Tennessee	2	200,077									1	148,159
Texas	11	1,704,531	1	250,000	1	150,000	1	175,000	1	174,000	2	240,439
Utah	3	271,90							1	110,883	1	119,680
Vermont	3	262,06										
Virginia	6	695,171			1	300,000		500				
Washington	7	1,132,398	1	93,327			1	150,000	1	175,025	1	250,000
Wisconsin	13	1,488,435	1	85,255	1	182,000		4,116	1	128,604	1	60,000

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by State: Fiscal years 1978–89

FY 83		FY 84		FY 85		FY 86		FY 87		FY 88		FY 89	
Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount
35	$6,000,000	35	$6,000,000	43	$6,000,000	38	$5,742,000	37	$6,000,000	39	$5,744,000	32	$5,675,000
										1	112,577	1	117,601
1	187,269												
1	98,978	1	95,640										
3	431,821	4	1,128,461	2	496,877	6	1,252,137	9	1,794,005	7	1,415,787	4	989,940
2	493,372	1	82,173									1	385,456
1	58,861			3	274,964	1	124,968	1	71,836	1	69,552	2	207,445
1	378,459	1	700,000									1	64,000
						1	92,173						
				1	140,000			1	45,000	1	64,345		
3	546,290	6	879,462	6	822,636	4	633,428	5	730,706	2	321,556	3	587,253
1	230,080	1	608,522	1	58,889	1	96,889	1	103,080	2	610,795	2	472,332
1	144,313			1	126,147	1	102,140	1	126,451			1	178,398
				1	142,080	1	153,322						
										1	102,708	1	98,771
1	71,456			1	93,356	2	445,126	1	408,837	2	565,024	1	575,000
3	548,546	2	312,499	3	619,152	3	527,669	3	429,260	2	220,912	1	147,827
1	165,337	1	86,645	3	338,796	1	60,799			1	60,706	1	67,548
1	122,602					1	64,108						
												1	133,771
1	205,168	2	130,555	1	202,757	2	391,571	1	250,000	1	253,320		
				1	115,403								
		1	183,336	1	249,916	1	234,209	1	249,818				
		1	116,566	1	93,575	1	50,427			1	105,749		
										1	64,000	1	77,812
7	1,376,855	7	903,907	8	1,303,621	5	680,875	4	860,382	4	535,945	4	573,011
1	305,951	1	144,532			1	170,242			1	44,106		
1	113,093			1	133,500	1	88,355	2	171,000	1	104,705		
										1	115,689	1	186,734
1	106,875	4	370,713	4	304,578	1	132,000			1	108,142	1	115,380
				1	170,845			1	210,000	1	197,653	1	188,343
1	96,612							1	55,000	1	45,683		
1	51,918												
1	180,998	1	184,944	1	119,671	1	72,888					1	156,591
								1	41,340				
				1	67,777			1	94,286	1	100,000		
1	85,146	1	72,045					1	89,999	1	42,410	1	105,071
						1	140,668	1	144,000	1	179,378		
				1	125,460	2	228,006	1	125,000	3	303,258	2	246,736

Table 2.—Analysis of fiscal year 1989 grant awards, by major activity:

Institution	Total	Program activity		
		Bibliographic control	Preservation	Collection development
Total	$5,675,000	$4,674,002	$591,729	$409,269
Auburn University	117,601	117,601		
Brown University	188,343	188,343		
Center for Research Libraries	236,331	236,331		
Folger Shakespeare Library	129,319			129,319
Georgetown University	78,126	78,126		
Harvard University	147,827	147,827		
Indiana University	360,000	360,000		
Indiana University	112,332	112,332		
Jewish Theological Seminary	120,840	74,340	46,500	
Mississippi State University	133,771			133,771
New York Public Library	143,767	117,910	25,857	
New York Public Library	185,605	5,986	175,196	4,423
Stanford University	258,482	258,482		

State Historical Society of Wisconsin	116,736	116,736		
State University of New York at Buffalo	122,799	122,799		
Tulane University	98,771	98,771		
University of California, Berkeley	324,859	324,859		
University of California, Berkeley	249,663	119,144	36,973	93,546
University of California, Riverside	156,936	156,936		
University of Chicago	137,710	7,090	82,410	48,210
University of Florida	64,000	64,000		
University of Illinois, Urbana	213,192	195,920	17,272	
University of Kansas	178,398	178,398		
University of Maryland	575,000	575,000		
University of Michigan	67,548	578	66,970	
University of New Mexico	77,812	64,022	13,790	
University of Oklahoma	186,734	126,296	60,438	
University of Pittsburgh	115,380	115,380		
University of Texas	156,591	129,029	27,562	
University of Virginia	105,071	105,071		
University of Wisconsin, Madison	130,000	91,239	38,761	
Yale University	385,456	385,456		

Table 3.—Summary of funding, by major activity: Fiscal years 1978–89

Fiscal year	Total funding	Bibliographic control	Percent of funding	Preservation	Percent of funding	Collection development	Percent of funding
Total	$69,913,264	$51,623,228	74	$13,810,924	20	$4,479,112	6
1978	4,999,996	2,864,339	57	1,340,554	27	795,103	16
1979	6,000,000	3,978,366	66	1,393,201	23	628,433	11
1980	5,992,268	4,345,765	73	805,383	13	841,120	14
1981	6,000,000	4,249,840	71	1,298,542	22	451,618	7
1982	5,760,000	4,042,549	70	1,521,258	27	196,193	3
1983	6,000,000	4,738,575	79	909,612	15	351,813	6
1984	6,000,000	4,526,772	76	1,044,973	17	428,255	7
1985	6,000,000	4,236,695	70	1,729,997	29	33,308	(*)
1986	5,742,000	4,429,374	77	1,122,409	20	190,217	3
1987	6,000,000	4,732,543	79	1,202,696	20	64,761	1
1988	5,744,000	4,804,408	84	850,570	15	89,022	1
1989	5,675,000	4,674,002	82	591,729	11	409,269	7

*Less than 1 percent

Chart 1.—Program focus: Fiscal year 1989

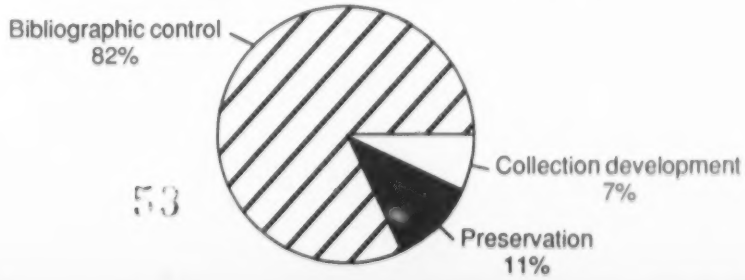

Chart 2.—HEA Title II-C program focus: Fiscal years 1978–1989

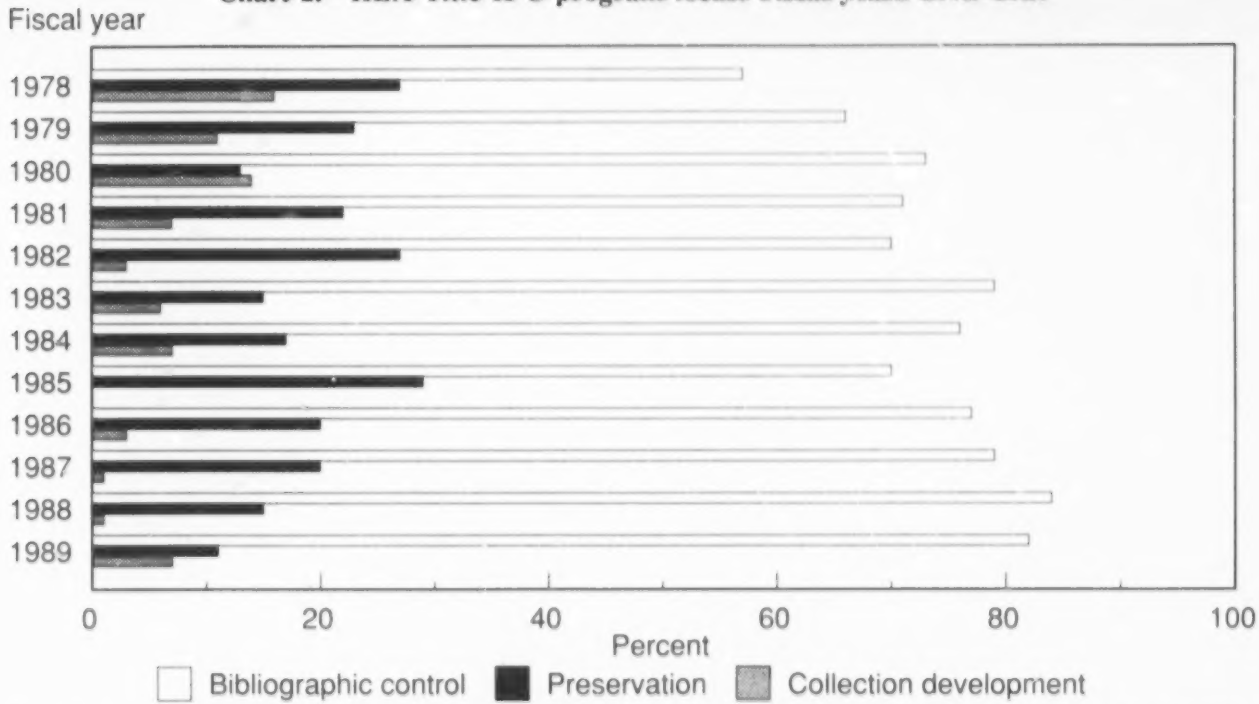

Chart illustrates shifts in program focus during the 11-year life of the program, 1978-89. Funds expended on bibliographic control have climbed from 57 percent in the first year to a high of 84 percent in 1988. Collection development, never a major expenditure, reached its peak of 16 percent in the second year, dropping gradually to a negligible percent, resting at 1 percent in both 1987 and 1988, but showing a marked increase over the past 4 years in 1989. Preservation, which has hovered in the 20 percent area for most of the 11 years, dropped to 11 percent in 1989. These emphases are placed on the program by the library community. The Department of Education has set no funding priorities.

Table 4.—Summary of funding: fiscal years 1977–89

Fiscal year	Authorization	Administration request	Congressional appropriation	Amount awarded
Total	$160,000,000	$29,000,000	$69,921,000	$69,913,264
1977	10,000,000	0	0	0
1978	15,000,000	5,000,000	5,000,000	4,999,996
1979	20,000,000	5,000,000	6,000,000	6,000,000
1980	20,000,000	6,000,000	6,000,000	5,992,268
1981	10,000,000	7,000,000	6,000,000	6,000,000
1982	15,000,000	6,000,000	5,760,000	5,760,000
1983	15,000,000	0	6,000,000	6,000,000
1984	15,000,000	0	6,000,000	6,000,000
1985	15,000,000	0	6,000,000	6,000,000
1986	15,000,000	0	5,742,000	5,742,000
1987	10,000,000	0	6,000,000	6,000,000
1988	(*)	0	5,744,000	5,744,000
1989	(*)	0	5,675,000	5,675,000

*According to the Higher Education Amendments of 1986 (Public Law 99-498), $10,000,000 was authorized for FY 87 and such sums as deemed necessary for 4 succeeding years.

States Benefitting from HEA Title II–C Since Fiscal Year 1978

Key: Shaded areas are those that have received Title II–C funding.

Table 5.—Summary of Major Activity, by Institution: Fiscal years 1978–89

Institution	Total	Fiscal year											
		1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989
Academy of Natural Sciences	$228,989	$	$	$	B $43,680	$	$	B-P $130,016	B $55,293	$	$	$	$
American Antiquarian Society	200,760									B 91,500	B 109,260		
American Museum of Natural History	1,612,965	B-C 250,000	B-C 242,165	B-C 237,819	B-P 166,539	B 125,929		P-C 83,953	P 145,739	P 161,160	P 199,661		
Art Institute of Chicago	306,620	P-C 163,200							P 64,093	B 79,327			
Auburn University	230,178											B 112,577	B 117,601
Boston Public Library	1,279,684	B 238,240	B 150,000	[1]4,116	P 187,069	P 158,139	P 157,577		P 174,543		P 210,000		
Brandeis University	100,051											B 100,051	
Brown University	1,372,938			B-C 272,471	B 165,000	B-P 168,626			B 170,845		B 210,000	B 197,653	B 188,343
Carnegie Institute	108,142											B-P 108,142	
Center for Research Libraries	1,406,680				B 122,809	B 230,011	B 299,488	B 114,278	P 145,000	P 139,214	P 119,549		B 236,331
Cleveland Public Library	80,306				B 80,306								
Colorado State University	670,459	B 219,103	B 215,000	B 236,356									
Columbia University	1,025,311		P 250,000	[1]4,116			B-P 349,530		B 210,120	B-P 211,545			
Cornell Univeristy	1,138,343		C 194,897	B-C 240,413	B-C 284,639		B 241,204	B 99,734		B-P 77,456			
Dartmouth College	1,067,279				B-P 150,000			B-P-C 183,336	B-P 249,916	B-P 234,209	B-P 249,818		
Detroit Public Library	166,829								B-P 106,030	B-P 60,799			

Duke University	714,348	C 250,000		C 250,000						B 170,242		B 44,106	
Emory University	92,173									B-P 92,173			
Folger Shakespeare Library	644,529	B-P-C 142,023				B-C-P 108,990	B-C 58,861		B 80,368	C 124,968			C 129,319
George Washington University	171,506								B-P 99,670		B 71,836		
Georgetown University	242,604								B 94,926			B 69,552	B 78,126
Harvard University	2,886,191	P 383,131	P 300,000	P 400,773	P 167,747	B-P 288,985	B-P 249,834	B-P 249,998	B-P 227,092	B-P 239,943	B-P 110,000	B-P 120,861	B 147,827
Houston Academy of Medicine	72,888									B-P 72,888			
Huntington Library	737,181	P 171,500	P 225,000	P 251,551			B 35,750			P 53,380			
Indiana University	3,027,436	B-C 389,106	B 200,000	[1]4,116	B 145,000	B 167,516	B-P-C 230,080	B 608,522		B-P 96,889	B 103,080	B [2]610,795	B 472,332
Iowa State University	127,975				B-P 127,975								
Jewish Theological Seminary	120,840												B-P 120,840
Johns Hopkins University	269,960						B-P 71,456		B 93,356	B 40,350		B 64,798	
Massachusetts Institute of Technology	858,122					B-P 240,743	B-P 141,135	B 62,501	B 217,517	B 196,226			
Metropolitan Museum of Art	101,850						B-P 101,850						
Michigan State University	251,982						B-P 165,337	B 86,645					
Mississippi State University	133,771												C 133,771
Missouri Botanical Garden	1,387,935	B 200,000	B 200,000	B 244,571						B 240,044	B 250,000	B-P 253,320	
New York Botanical Garden	498,401					B 225,042	B 273,359						
New York Historical Society	196,639							B-P 69,929	B-P 65,585			B-P 61,125	
New York Public Library	2,634,102	P 550,700	P 300,000		B-P 662,816			P 235,339	P 212,583	B-P-C 135,718		B-P 207,574	B-P-C [3]329,372

Table 5.—Summary of Major Activity, by Institution: Fiscal years 1978–89 —Continued

Institution	Total	Fiscal year											
		1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989
New York State		B	B	B									
Education Department	805,849	250,000	250,000	305,849									
New York State							B				B		
Library	203,323						152,748				50,575		
New York								B–P	B				
University	352,023							185,136	166,887				
Newberry					P								
Library	131,658				131,658								
Northern Illinois								B	B				
University	235,233							93,480	141,753				
Northwestern			B–C			B–C		B			B		
University	601,197		250,000	[1]4,116		55,104		172,193			119,784		
Ohio State					B–C	B–C	B–C			B–P	B–P		
University	551,465				179,000	101,017	113,093			88,355	70,000		
Ohio						B			B		B	B	
University	455,204					115,999			133,500		101,000	104,705	
Pierpont Morgan							B–P	B–P–C					
Library	187,131						89,675	97,456					
Princeton		B	B	B		B–P		B	P	B–P		B–P	
University	1,099,373	219,395	250,000	153,661		110,000		116,566	93,575	50,427		105,749	
Rice						B							
University	82,858					82,858							
Rutgers			B–P										
University	204,116		200,000	[1]4,116									
Southern Illinois					B–P–C	B–P					B	B	
University	530,006				180,000	90,006					130,000	130,000	
Stanford					B	B	B	B	B	B	B	B	B
University	2,799,683				209,013	231,030	287,448	350,000	240,377	359,407	463,805	400,121	258,482
Stanford University,								P		P	B–P	B–P	
Hoover Institution	1,186,853							262,000		257,916	[2]414,244	[2]252,693	

State Historical Society of Wisconsin	598,496									B-P 125,460	B-P 120,054	B-P 125,000	B-P 111,246	B 116,736
State University of New York at Buffalo	1,022,300						B 104,063	B 168,489		B 109,707	B 94,996	B 155,000	B [2] 267,246	B 122,799
Syracuse University	292,360								B-P 132,360	P 160,000				
Temple University	66,748								B-P 66,748					
Tulane University	201,479												B 102,708	B 98,771
University of Alaska	341,385		B-P 150,000	[1] 4,116				P 187,269						
University of Arizona	581,952			B-C 202,549	B 184,785			B 98,978	B 95,640					
University of California, Berkeley	4,929,047	B 675,000	B 750,000	B 995,781		B-P-C 157,297			B-P-C 250,566	B-P-C 256,500	B 250,023	B-P [2] 402,673	B-P-C [3] 616,685	B-P-C [2] 574,522
University of California, Davis	104,999					B-P 104,999								
University of California, Los Angeles	140,000											B-P 140,000		
University of California, Riverside	446,936										B 135,000	B 155,000		B 156,936
University of California, San Diego	712,212							B-P-C 108,623	B-P-C 265,895		B-P-C 196,411	B 141,283		
University of California, Santa Barbara	77,000											B 77,000		
University of Chicago	1,467,123	B 250,000	B-P-C 68,749	B-P-C [2] 254,040	P-C 55,820		B-P-C 136,119	B 159,247		B 195,000	B 210,438			B-P-C 137,710
University of Cincinnati	394,151					B-P 394,151								
University of Connecticut	150,545						B 68,372	B 82,173						
University of Florida	1,942,459				B 800,000		B 378,459	B 700,000						B 64,000
University of Hawaii	399,345				B 150,000					B 140,000		P 45,000	B-P-C 64,345	
University of Illinois	1,910,291	C 70,216	C 125,000	C 64,920	B-C 120,000	B-C 141,373	B-C 110,683	B-C 182,429	B-C 125,100	B-C 204,449	B	B-P-C [2] 361,373	B-P 191,556	B-P 213,192
University of Illinois, Chicago	309,525							B 157,835	B 151,690					

Table 5.—Summary of Major Activity, by Institution: Fiscal years 1978–89 —Continued

Institution	Total	Fiscal year											
		1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989
University of Kansas	1,079,605		B 116,689	B 136,967		B–P 148,500	B–P 144,313		B 126,147	B 102,140	B 126,451		B 178,398
University of Kentucky	295,402								B–P 142,080	B–P 153,322			
University of Maryland	1,888,839									B 404,776	B 408,837	B 500,226	B 575,000
University of Michigan	1,750,921		B 350,000	B 606,000	B 300,000	B–P 133,901			B–P–C [2]232,766			B–P 60,706	B–P 67,548
University of Minnesota	253,019					B 66,309	B 122,602			B 64,108			
University of Missouri	422,514							B 68,230	B–P 202,757	B–P 151,527			
University of Montana	115,403								B 115,403				
University of Nebraska	90,000					B–P–C 90,000							
University of New Mexico	141,812											B–P 64,000	B–P 77,812
University of North Carolina	1,602,372		B 220,500	B 321,445	B 270,937	B 339,007	B 305,951	B 144,532					
University of Notre Dame	58,889								B–P 58,889				
University of Oklahoma	505,874					B 203,451						B–P 115,689	B–P 186,734
University of Pennsylvania	586,194			B–P 167,739		B–P 108,798	B 106,875	B 103,982	B 98,800				
University of Pittsburgh	467,832							B–C 69,967	B–P [2]150,485	B 132,000			B 115,380
University of Rochester, Eastman School of Music	688,146								B 233,000		B 455,146		

University of South Carolina	502,031				B 172,000	B 132,736	B 96,612				B 55,000	B 45,683	
University of Southern California	472,983		B 200,000		B-C 126,695							B 146,288	
University of Tennessee	51,918						B 51,918						
University of Texas	1,548,785	B-C 250,000	B-C 150,000	B-C 175,000	B-P-C 174,000	P-C 157,581	B 180,998	B 184,944	B 119,671				B-P 156,591
University of Utah	271,903				B 110,883	B 119,680					B-C 41,340		
University of Vermont	262,063								B-P-C 67,777		B-P-C 94,286	B-P-C 100,000	
University of Virginia	652,761		B 300,000	[1] 500			B 85,146	B 72,045			B 89,999		B 105,071
University of Washington	991,730	B 93,327		B 150,000	B-P 175,025	B-P 250,000					B-P 144,000	B 179,378	
University of Wisconsin	889,939	B 85,255	B 182,000	[1] 4,116	P 128,604	B-P 60,000				B-P 107,952		B-P-C [2] 192,012	B-P 130,000
Vanderbilt University	148,159					B 148,159							
Virginia Historical Society	42,410											P 42,410	
Washington State University	140,668									B 140,668			
Washington University	267,493						B-C 205,168	B 62,325					
Yale University	2,043,307	P 149,800	P 160,000	B-P 295,051	B-P 228,000	B 400,000	B 425,000						B 385,456

KEY: B—Bibliographic Control P—Preservation C—Collection Development

[1] Funds awarded to conduct dissemination projects for FY 79 grants.
[2] Funds for two projects.
[3] Funds for three projects.

Note.—Institutions listed are primary grantees only. Table does not show those institutions that received funds through joint grants.

HEA Title II-C

Appendix B

Summary of Joint Projects: Fiscal Years 1978-89

Total funding of joint projects FY 78-FY 89	$15,856,078
Total number of institutions—grantees	16
Total number of institutions—participants	39
Total number of participating institutions which have also been grantees on their own	27
Thirty-nine of the 41 joint projects (95 percent) supported bibliographic control efforts	

Amount of Grant	Grantee	Key	Participating Institutions
FY 78			
$250,000	Duke University	C	University of North Carolina at Chapel Hill North Carolina State University, Raleigh
200,000	Missouri Botanical Garden	B	New York Botanical Garden
675,000	Univ. of California, Berkeley	B	Stanford University University of California, Los Angeles
FY 79			
$200,000	Missouri Botanical Garden	B	New York Botanical Garden
750,000	University of Calif., Berkeley	B	Stanford University University of California, Los Angeles
350,000	Univ. of Michigan	B	Michigan State University Wayne State University
300,000	University of Virginia	B	University of Alabama University of South Carolina Vanderbilt University
FY 80			
$250,000	Duke University	C	University of North Carolina at Chapel Hill North Carolina State University, Raleigh
244,571	Missouri Botanical Garden	B	New York Botanical Garden

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Summary of Joint Projects: Fiscal Years 1978-89—Continued

Amount of Grant	Grantee	Key	Participating Institutions
FY 80—Cont.			
$995,781	Univ. of California, Berkeley	B	Stanford University University of California, Los Angeles
606,000	Univ. of Michigan	B	Michigan State University Wayne State University
FY 81			
$662,816	New York Public Library	B,P	Columbia University New York University
800,000	University of Florida	B	Emory University Florida State University University of Georgia University of Kentucky University of Miami University of Tennessee Virginia Polytechnic Institute
300,000	Univ. of Michigan	B	Michigan State University Wayne State University
FY 82			
$225,042	New York Botanical Garden	B	Missouri Botanical Garden
400,000	Yale University	B	Cornell University Stanford University
FY 83			
$273,359	New York Botanical Garden	B	Missouri Botanical Garden
378,459	University of Florida	B	Emory University Florida State University Louisiana State University University of Alabama University of Georgia University of Kentucky University of Miami University of Tennessee Virginia Polytechnic Institute

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Summary of Joint Projects: Fiscal Years 1978-89—Continued

Amount of Grant	Grantee	Key	Participating Institutions
FY 83—Cont.			
$305,951	University of North Carolina	B	Duke University North Carolina State University, Raleigh
425,000	Yale University	B	Cornell University Stanford University
FY 84			
$608,522	Indiana University	B	University of Arizona University of California, Riverside University of Delaware University of Utah
700,000	University of Florida	B	Emory University Florida State University Georgia Institute of Technology Louisiana State University University of Alabama University of Georgia University of Kentucky University of Miami University of South Carolina University of Tennessee Vanderbilt University Virginia Polytechnic Institute
144,532	University of North Carolina	B	Duke University North Carolina State University, Raleigh
FY 85			
$233,000	Univ. of Rochester, Eastman School of Music	B	Indiana University University of California, Berkeley
FY 86			
$170,242	Duke University	B	North Carolina State University, Raleigh University of North Carolina, Chapel Hill
240,044	Missouri Botanical Garden	B	New York Botanical Garden
404,776	Univ. of Maryland	B	New York State Library Texas A & M University University of Delaware

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Summary of Joint Projects: Fiscal Years 1978-89—Continued

Amount of Grant	Grantee	Key	Participating Institutions
FY 87			
$455,146	Univ. of Rochester, Eastman School of Music	B	Harvard University Indiana University Stanford University University of California, Berkeley Yale University
250,000	Missouri Botanical Garden	B	New York Botanical Garden
408,837	Univ. of Maryland	B	New York State Library Texas A & M University University of Delaware
FY 88			
$112,577	Auburn University	B	University of Alabama
506,839	Indiana University	B	Eastman School of Music Harvard University Stanford University University of California, Berkeley Yale University
253,320	Missouri Botanical Garden	B,P	New York Botanical Garden
400,121	Stanford University	B	University of California, Berkeley University of Florida University of Texas Yale University
500,226	Univ. of Maryland	B	New York State Library University of Delaware
179,378	Univ. of Washington	B	University of Oregon
FY 89			
$117,601	Auburn University	B	University of Alabama
360,000	Indiana University	B	Cornell University Eastman School of Music Harvard University Yale University

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Summary of Joint Projects: Fiscal Years 1978-89—Continued

Amount of Grant	Grantee	Key	Participating Institutions
FY 89—Cont.			
258,482	Stanford University	B	Indiana University University of California, Berkeley University of Florida University of Texas Yale University
575,000	Univ. of Maryland	B	New York State Library Texas A & M University University of Delaware
385,456	Yale University	B	Columbia University Stanford University, Hoover Institute

KEY: B-Bibliographic Control P-Preservation C-Collection Development

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